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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 131

Application Number

10/585,427 ✓

Filing Date

July 07, 2006

Intl. Filing Date

July 29, 2004

First Named Inventor

Jenifer H. Galante

Examiner Name

To be Determined

Attorney Docket Number

15409US02

## ENCLOSURES (check all that apply)

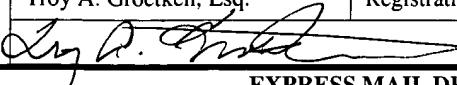
- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/ Incomplete Application
- Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD Number of CD(s) \_\_\_\_\_
- Landscape Table on CD

- After Allowance Communication to TC
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Information Disclosure Statement with Form PTO SB/08a (in duplicate- attaching the following:
  - a) References B1-B4 and C1-C4
- Return-Receipt Postcard
- Other Enclosure(s) (please identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd.		
Name (Print/type)	Troy A. Groetken, Esq.	Registration No. (Attorney/Agent)	46,442
Signature	 Date: January 22, 2007		

## EXPRESS MAIL DEPOSIT

"Express Mail" mailing label number : EV 729164225 US

Date of Deposit: January 22, 2007



ATTORNEY DOCKET No. 15409US02

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 15409US02)

In the Application of:

Galante, Jenifer H., *et al.*

Serial No. 10/585,427

Filed: July 07, 2006

International Filing Date: July 29, 2004

For: "Process for Enzymatic  
Production of Triglycerides"

**CERTIFICATE OF EXPRESS MAILING**

Express Label No. EV 729164225 US

Date: January 22, 2007

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO.</u>	<u>DATE</u>	<u>INVENTORS</u>
6,897,327	05-24-2005	Rongione, <i>et al.</i>
6,420,577	07-16-2002	Reaney, <i>et al.</i>
6,361,980	03-26-2002	Sugiura, <i>et al.</i>
6,136,985	10-24-2000	J. R. Millis
4,381,264	04-26-1983	A. Struve

<b>FOREIGN PATENT <u>APPLICATION NO.</u></b>	<b><u>DATE</u></b>	<b><u>INVENTOR(S)</u></b>
WO 00/18944	04-06-2000	G. Haraldsson, <i>et al.</i>
WO 99/32105	07-01-1999	J. Millis
WO 91/16443	10-31-1991	G. Haraldsson, <i>et al.</i>
GB 1,577,933	10-29-1980	M. Coleman, <i>et al.</i>

**OTHER**

Study of the Lipase-Catalyzed Synthesis of Triolein", Biotechnology and Bioengineering, Volume 41, No. 11 (May 1993), pp. 1021-1026, John Wiley & Sons, Inc.

FRANCOISE ERGAN, *ET AL.*, "Effect of Lipase Specificity on Triglyceride Synthesis", Biotechnology Letters, Volume 13, No. 1 (1991), pp. 19-24.

F. ERGAN, *ET AL.*, "Production of Glycerides from Glycerol and Fatty Acid by Immobilized Lipases in Non-aqueous Media", Biotechnology and Bioengineering, Volume 35, (January 1990), pp. 195-200, John Wiley & Sons, Inc.

F. ERGAN, *ET AL.*, "Solvent Free Triglyceride Synthesis Using Lipozyme™ IM-20", Biotechnology Letters, Volume 10, No. 9, (1988) pp. 629-634.

The above-identified reference(s) are listed on the attached PTO Form SB/08a. Copies of the foreign references and non patent literature documents are also enclosed herewith. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicants expressly retain the right to take any actions necessary to remove the above-cited references from the available prior art.

Applicants believe that no fee is due with this submission. However, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,



Troy A. Groetken, Esq.  
Registration No. 46,442  
Attorney for Applicant

Date: January 22, 2007  
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<p>Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <b>JAN 22 2007</b>  <small>Use as many sheets as necessary)</small>  <small>Sheet 1 of 1</small> </p>				<b>Complete if Known</b>	
				Application Number	10/585,427
				Filing Date	July 07, 2006
				Int'l. Filing Date	July 29, 2004
				First Named Inventor	Galante, J. H.
				Group Art Unit	Not Known
				Examiner Name	NOT YET KNOWN
				Attorney Docket Number	15409US02

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	6,897,327	05-24-2005	Rongione, et al.	Abstract, Columns 1-16
	A2	6,420,577	07-16-2002	Reaney, et al.	Abstract, Columns 1-12, Figs.1-5
	A3	6,361,980	03-26-2002	Sugiura, et al.	Abstract, Columns 1-12, Fig.1
	A4	6,136,985	10-24-2000	J. R. Millis	Abstract, Columns 1-12
	A5	4,381,264	04-26-1983	A. Struve	Abstract, Columns 1-8

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	B1	WO 00/18944	04-06-2000	G. Haraldsson, et al.	Abstract, Pages 1-24; Figs.1-2	
	B2	WO 99/32105	07-01-1999	J. Millis	Abstract, Pages 1-26	
	B3	WO 91/16443	10-31-1991	G. Haraldsson, et al.	Abstract, Pages 1-14	
	B4	GB 1,577,933	10-29-1980	M. Coleman, et al.	Pages 1-10	

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

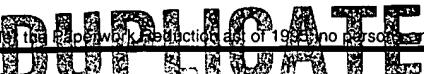
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Study of the Lipase-Catalyzed Synthesis of Triolein", Biotechnology and Bioengineering, Volume 41, No. 11 (May 1993), pp. 1021-1026, John Wiley & Sons, Inc.
	C2	FRANCOISE ERGAN, ET AL., "Effect of Lipase Specificity on Triglyceride Synthesis", Biotechnology Letters, Volume 13, No. 1 (1991), pp. 19-24.
	C3	F. ERGAN, ET AL., "Production of Glycerides from Glycerol and Fatty Acid by Immobilized Lipases in Non-aqueous Media", Biotechnology and Bioengineering, Volume 35, (January 1990), pp. 195-200, John Wiley & Sons, Inc.
	C4	F. ERGAN, ET AL., "Solvent Free Triglyceride Synthesis Using Lipozyme™ IM-20", Biotechnology Letters, Volume 10, No. 9, (1988) pp. 629-634.

EXAMINER SIGNATURE	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>				<b>Complete if Known</b>	
<small>Substitute for form 1449A/PTO O I P E JAN 22 2007 P A T E N T &amp; T R A D E M A R K O F F I C E</small>				Application Number Filing Date Intnl. Filing Date First Named Inventor Group Art Unit Examiner Name Attorney Docket Number	10/585,427 July 07, 2006 July 29, 2004 Galante, J. H. Not Known NOT YET KNOWN 15409US02
SHEET	1	of	1		

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	A1	6,897,327	05-24-2005	Rongione, <i>et al.</i>	Abstract, Columns 1-16
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**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Study of the Lipase-Catalyzed Synthesis of Triolein", Biotechnology and Bioengineering, Volume 41, No. 11 (May 1993), pp. 1021-1026, John Wiley & Sons, Inc.
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